

Chapter 10. ANSI ASC X12 Version 4010 Mapping

➤ *General*

Use of the EDI X12 version 4010 (hereafter 4010) map is only available to Electronic Participants filing the Terminal Operator Report and/or Vessel/Pipeline Operator Report.

➤ *4010 Map*

The Electronic Participants will follow the ANSI ASC X12 Electronic Filing of Return Data Transaction Set (TS813), as defined in Version 4 Release 1. The BOE requires that all schedules reflect detailed information needed to perform tracking of monthly fuel transactions.

Refer to the IRS instructions on creating a 4010 file. Publication 3536, “Excise Tax EDI Guide” may be obtained from the IRS through the following sources:

For an electronic copy, see the IRS Excise on the web at <http://www.irs.gov/excise> or the IRS Digital Daily for all IRS Forms and Publications at <http://www.irs.gov/>.

For a paper copy by mail, call the IRS at 1-800-829-3676.

➤ *Password and Authorization Code*

The password and authorization codes are used as an electronic signature to uniquely identify an Electronic Participant. The password is provided by the Electronic Participant on the Trading Partner Agreement (TPA) and the authorization code is subsequently issued by the BOE. Though not required to do so, the Electronic Participant may arrange to have the password and authorization codes agree with those provided to the IRS. To do so:

1. The password on the TPA must agree with the password used in electronic files submitted to the IRS.
2. The TPA must be accompanied by a letter requesting that the authorization code assigned to the Electronic Participant agree with the authorization code assigned by the IRS and identifying the person for the BOE to contact in order to obtain this authorization code.

The BOE password and authorization codes must agree with the IRS codes for the Electronic Participant to participate in single point of filing.

➤ *Single Point of Filing via ExSTARS*

The 4010 map is used by the IRS’s ExSTARS program to electronically file the Form 720-TO and Form 720-CS. The BOE may, at its discretion, accept an Electronic Participant’s electronic filing with the IRS via ExSTARS as a filing with the BOE in lieu of filing directly. This is known as single point of filing. In order for Electronic Participants to participate in single point of filing they must:

1. Demonstrate the ability to file directly with the BOE by completing the certification testing process.
2. Receive prior written approval from the BOE to participate in single point of filing.

3. Submit files that indicate the BOE is to receive data under the provisions of the Internal Revenue Code §6103(c) consent. No transaction data in the submitted files may have this disclosure authority revoked. The BOE will require any files submitted to the IRS without such consent to be filed directly to the BOE. Repeated submissions of files that do not contain this consent will lead to the revocation of an Electronic Participant's privilege to participate in single point of filing.

➤ ***Certification Testing***

All Electronic Participants that intend to use the 4010 map must complete certification testing. The requirements are the same as those for all other BOE accepted filing formats. Refer to Chapter 8, "Certification Testing Guidelines" of this manual.

➤ ***Changes by the IRS***

The IRS may change the 4010 map requirements or the Electronic Participant's identification information, such as password or authorization code. In the event that this occurs, the Electronic Participant must continue filing with the BOE using the old 4010 map requirements and/or identification information until notified by the BOE to do otherwise. If the Electronic Participant is participating in single point of filing, it is required to file directly with the BOE until the Electronic Participant receives written notification that it may resume single point of filing. The Electronic Participant should also notify the BOE of the change by the IRS.

➤ ***BOE File Requirements***

4010 files submitted directly to the BOE must meet the following criteria:

1. The file must contain only California transactions. California transactions are those transactions where the destination state and/or the origination state is California.
2. The password (ISA04) must agree with the password provided on the Trading Partner Agreement.
3. The authorization code (ISA02) must agree with the authorization code assigned by the BOE.